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TEXAS OFFICE OF CONSUMER  
CREDIT COMMISSIONER

# Application Questionnaire

If the answer to any of the below questions is "YES", provide a summary of each event or proceeding and copies of relevant documents as an attachment.

Entity Name or Name of Owner/Sole Proprietor

Operating Name (d/b/a)

	YES	NO
(A) Has the entity or control affiliate ever:		
1) been convicted of or pled guilty or <i>nolo contendere</i> ("no contest") to a felony in a domestic, foreign, or military court; or	<input type="checkbox"/>	<input type="checkbox"/>
2) been charged with any felony?	<input type="checkbox"/>	<input type="checkbox"/>
(B1) During the past ten (10) years, has the entity or control affiliate been convicted of or pled guilty or <i>nolo contendere</i> in a domestic, foreign, or military court to committing or conspiring to commit a misdemeanor involving: financial services or a financial services related business, fraud, false statements, theft or wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion?	<input type="checkbox"/>	<input type="checkbox"/>
(B2) Are there pending charges against the entity or a control affiliate for a misdemeanor specified in (B1)?	<input type="checkbox"/>	<input type="checkbox"/>
(C) In the past ten (10) years, has any state, federal, or foreign regulatory agency ever:		
1) found the entity or a control affiliate to have made a false statement or omission or been dishonest, unfair, or unethical;	<input type="checkbox"/>	<input type="checkbox"/>
2) found the entity or a control affiliate to have been involved in a violation of a financial services related regulation(s) or statute(s);	<input type="checkbox"/>	<input type="checkbox"/>
3) found the entity or a control affiliate to have been the cause of a financial services related business having its authorization to do business denied, suspended, revoked or restricted;	<input type="checkbox"/>	<input type="checkbox"/>
4) entered an order against the entity or control affiliate in connection with a financial services related activity; or,	<input type="checkbox"/>	<input type="checkbox"/>
5) denied, suspended, or revoked the entity's or a control affiliate's registration or license or otherwise, by order, prevented it from associating with a financial services related business or restricted its activities?	<input type="checkbox"/>	<input type="checkbox"/>
(D) Has the entity's or a control affiliate's authorization to act as an attorney, accountant, or state or federal contractor ever been revoked or suspended?	<input type="checkbox"/>	<input type="checkbox"/>
(E) Is the entity or a control affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Section C(4)?	<input type="checkbox"/>	<input type="checkbox"/>
(F1) Has any domestic or foreign court in the past ten (10) years:		
a) enjoined the entity or control affiliate in connection with any financial services related activity;	<input type="checkbox"/>	<input type="checkbox"/>
b) found the entity or a control affiliate to be in violation of any financial services related statute(s) or regulation(s); or	<input type="checkbox"/>	<input type="checkbox"/>
c) dismissed, pursuant to a settlement agreement, a financial services related civil action brought against the applicant or control affiliate by a state or foreign financial regulatory authority?	<input type="checkbox"/>	<input type="checkbox"/>
(F2) Is the entity or a control affiliate named in any pending financial services related civil action that could result in a "yes" answer to any part of (F1)?	<input type="checkbox"/>	<input type="checkbox"/>
(G) Has a bonding company ever denied, paid out on, or revoked a bond for the entity?	<input type="checkbox"/>	<input type="checkbox"/>
(H) Does the entity have any unsatisfied judgments or liens against it?	<input type="checkbox"/>	<input type="checkbox"/>

The following questions are to be answered by Pawnshop License Applicants only.

(I) If applicant intends to deal in firearms, has applicant applied for or obtained a Federal Firearms License?	<input type="checkbox"/>	<input type="checkbox"/>
(J) Within what municipal jurisdiction is the shop to be located?		

(K) If the application is for a new pawnshop license, provide the straight-line distance from the proposed location to the nearest operating pawnshop.  
(Be exact)