



# STATEMENT REGARDING PREVIOUS FINANCE TRANSACTIONS

\_\_\_\_\_  
Entity Name or Sole Proprietor Name

\_\_\_\_\_  
Assumed Name/DBA (leave blank if you are not applying with a DBA)

## ALL QUESTIONS BELOW MUST BE ANSWERED

1. Has the applicant made, transacted, negotiated, arranged, acquired, serviced, collected, offered, or advertised any loans subject to Chapter 342 of the Texas Finance Code?

YES \_\_\_\_\_ NO \_\_\_\_\_ (initial YES or NO)

2. Has the applicant made any home equity loans subject to Article XVI, Section 50(a)(6) of the Texas Constitution?

YES \_\_\_\_\_ NO \_\_\_\_\_ (initial YES or NO)

3. Has the applicant assigned, transferred, purchased, acquired or received any retail installment contracts before submitting this application or through today's date?

YES \_\_\_\_\_ NO \_\_\_\_\_ (initial YES or NO)

### If you answered "yes" to any of the questions above, provide the following:

- A. The date when the first contract was made, assigned, transferred, purchased, acquired or otherwise received:

\_\_\_\_\_

- B. Number of transactions made since the date provided in (A): \_\_\_\_\_

- C. Submit a list of all Texas contracts made, and a list of all Texas accounts collected during this time. Each list should include the name of the borrower, contract date, total amount financed, total number of payments, and payment amount(s).

- D. From the list you provide, submit copies of ten (10) complete files. The complete file includes, but is not limited to, loan documents, payment history, and other documents related to that transaction. If there are fewer than ten (10) accounts, provide a complete copy of each file.

- E. The address where the transactions occurred (one address per form):

\_\_\_\_\_

The undersigned affirms that all answers made in the Statement Regarding Previous Installment Transactions and in all supporting schedules and exhibits are true, correct, and complete. **The Office of Consumer Credit Commissioner may conduct an on-site examination to review the information provided. FALSE OR MATERIALLY INCOMPLETE ANSWERS ARE GROUNDS FOR DENIAL.**

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

The Office of Consumer Credit Commissioner obtains information from this form and certain third-party sources. With few exceptions, you may review and correct the information we collect. To find out more about the information we collect or to make an open records request, contact our Public Information Officer at 512-936-7622 or [public.information@occc.texas.gov](mailto:public.information@occc.texas.gov).