

DISCLOSURE OF OWNERS & PRINCIPAL PARTIES

Instructions: List any direct owner who holds 10% or more ownership of the applying entity. If a parent company holds full or partial ownership of the applying entity, please select 3 of the company's employees to serve as your natural persons principal parties and upload an ownership chart for the parent company. Please note that individuals listed on this form will be required to provide the OCCC with personal forms and complete a background check if they have not completed one for the OCCC within the last 5-8 years. If a background check is required, an OCCC official will reach out to the individual with specific instructions.

Natural persons listed under one matching field do not need to be listed again under other fields.

Owners: Proprietors, Partners, Members or Stockholders

_____ Name	_____ % of Ownership	_____ Name	_____ % of Ownership
_____ Name	_____ % of Ownership	_____ Name	_____ % of Ownership
_____ Name	_____ % of Ownership	_____ Name	_____ % of Ownership
_____ Name	_____ % of Ownership	_____ Name	_____ % of Ownership

Principal Parties

Officers

_____ President	_____ Vice President	_____ Secretary
_____ Treasurer	_____ Other	_____ Other

Directors

_____ Name	_____ Name	_____ Name
_____ Name	_____ Name	_____ Name
_____ Name	_____ Name	_____ Name

Other Principal Parties (Members, Managers, Etc)

_____ Name	_____ Name	_____ Name
_____ Name	_____ Name	_____ Name

The Office of Consumer Credit Commissioner obtains information from this form and certain third-party sources. With few exceptions, you may review and correct the information we collect. To find out more about the information we collect or to make an open records request, contact our Public Information Officer at 512-936-7622 or public.information@occc.texas.gov.